

05-29-01

A

UTILITY PATENT APPLICATION TRANSMITTAL
Under Small Entity Status
(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.
U6220/55668/NWJ

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, William W. Holmes IV, et al, entitled Highly Ductile Reduced Imperfection Weld for Ductile Iron and Method for Producing Same for a(n):

(X) Original Patent Application

() Continuing Application (prior application not abandoned):

() Continuation () Divisional () Continuation-in-part (CIP)
of prior Application No. _____, filed on _____.

() A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

(X) **Specification; 27 Total Pages including (X) Drawing(s); 5 Total Sheets.**

() **Oath or Declaration:**

(X) **A Newly Executed Combined Declaration and Power of Attorney:**

(X) **Signed.** () Unsigned. () Partially Signed.

() A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).

() Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.

() Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).

(X) **Power of Attorney.**

(X) **Return Receipt Postcard.**

() Associate Power of Attorney.

(X) **A Check in the amount of \$ 1604 for the Filing Fee.**

() Preliminary Amendment.

(X) **Information Disclosure Statement and Form PTO-1449.**

() A Certified Copy of Priority Documents (if foreign priority is claimed).

() Statement(s) of Status as a Small Entity. (x2)

() Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.

(X) **Other: Assignment (with Recordal Cover Sheet)**

| CLAIMS AS FILED | | | | |
|--|-----------|-----------|---------|------------|
| FOR | NO. FILED | NO. EXTRA | RATE | FEE |
| Total Claims | 43 | 23 | \$18.00 | \$414.00 |
| Independent Claims | 9 | 6 | \$80.00 | \$480.00 |
| Multiple Dependent Claim Fee (if applicable) | | | | \$0.00 |
| Assignment Recording Fee (if applicable) | | | | \$40.00 |
| Basic Filing Fee | | | | \$710.00 |
| Total Filing Fee | | | | \$1,644.00 |

Please charge \$ _____ any underpayment to Deposit Account No. 50-0954 pursuant to 37 CFR § 1.25.

Respectfully submitted,

By: _____

Nathan W. Johnson, Attorney of Record, Reg. No. 44173

Date: May 25, 2001

Correspondence Address:

The address associated with **Customer Number 23378**
Attention to Nathan W. Johnson, Esq. Reg. No. 44,173

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

By: _____

Typed Name: Nathan W. Johnson
Reg. No.: 44173
Express Mail Label No.: EL673537281US

Date of Deposit: May 25, 2001

BRADLEY ARANT
ROSE & WHITE LLP

Nathan W. Johnson

2001 Park Place, Suite 1400
Birmingham, Alabama 35203-2736
Telephone (205) 521-8000
Facsimile (205) 521-8800
Direct Dial: (205) 521-8369
E-Mail: njohnson@barw.com

May 25, 2001

VIA EXPRESS MAIL NO. EL673537281US

EL673537281US

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

**Re: Highly Ductile Reduced Imperfection Weld for Ductile Iron and Method
for Producing Same**

Applicant: Copeland, et al
Application No.: *not yet assigned*
Filed: May 25, 2001 by Express Mail *herewith*
Art Unit: *not yet assigned*

Dear Sir:

Enclosed herewith are the following:

- (1) A new Patent Application
for the Highly Ductile Reduced Imperfection Weld for Ductile Iron and
Method for Producing Same identified therein, including a Specification, an
Abstract, and Claims (along with Utility Patent Application Transmittal);
- (2) An Information Disclosure Statement
for the above referenced application. No fee or showing is necessary for the
Statement as this filing is made within three months of the filing date. We
request that the enclosed information be properly processed in your office,
though its submission is not an admission that any reference acts as prior art;
- (3) Fee
A check for the in the amount of \$1644, made payable to the Commissioner
of Patents and Trademarks; and
- (4) a Combined Declaration and Power of Attorney.
- (6) Assignment of Patent Application (with recordal cover sheet)

1/817446.1

Express Mail No. EL673537281US

Birmingham, AL

Huntsville, AL

Jackson, MS

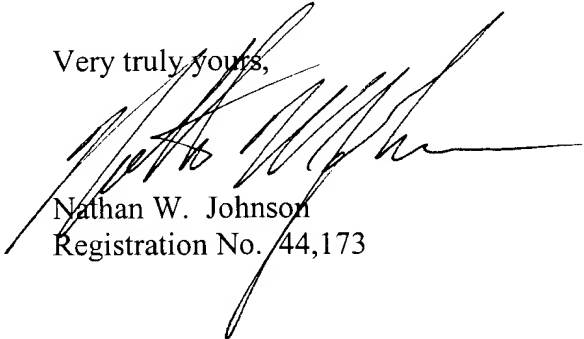
Montgomery, AL

Washington, D.C.

Assistant Commissioner for Patents
May 25, 2001
Page 2

Thank you for your cooperation and assistance in this matter. Please do not hesitate to call if you have any questions.

Very truly yours,



Nathan W. Johnson
Registration No. 44,173

NWJ/tlu

Enclosures

cc/encl: Mr. Larry Dunn
Mr. Daniel A. Copeland
Mr. David J. Farr
Mr. James E. Wren
Thad G. Long, Esq.
Ms. Lakeysucha A. Adams

096616353 052904

Assistant Commissioner for Patents

May 25, 2001

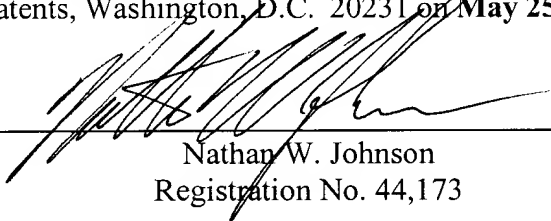
Page 3

CERTIFICATE OF EXPRESS MAILING

I hereby certify that the following items are enclosed:

- | | | | |
|----|--|------------------|--------------|
| 1. | Cover letter (including this page) | <u>3</u> | pages total |
| 2. | Utility Patent Application Transmittal | <u>1</u> | pages total |
| 3. | Patent application (<u>15</u> pages of description, <u>6</u> pages of claims, <u>1</u> page abstract, and <u>5</u> pages of drawings), | <u>27</u> | pages total |
| 4. | Information Disclosure Statement (<u>16</u> references) | <u>2</u> | pages total |
| 5. | Combined declaration and power of attorney, | <u>3</u> | pages total |
| 6. | Assignment of Patent Application | <u>6</u> | pages total |
| | Total 6 Documents | <u>42</u> | pages |
| 8. | One check for \$ <u>1644</u> filing fee | 1 | Check |

and are being deposited with the "Express Mail Post Office to Addressee" service of the United States Postal Service in an envelope marked **EL673537281US** addressed to Box PATENT APPLICATION Assistant Commissioner for Patents, Washington, D.C. 20231 on **May 25, 2001**.



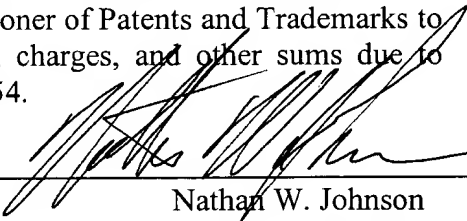
Nathan W. Johnson
Registration No. 44,173

May 25, 2001

Date

**AUTHORIZATION TO CHARGE
DEPOSIT ACCOUNT**

If, after processing the enclosed correspondence, any charges, fees, or sums due remain unpaid in connection with this correspondence, I hereby authorize the Commissioner of Patents and Trademarks to charge all such remaining fees, charges, and other sums due to Deposit Account Number 50-0954.



Nathan W. Johnson
Authorized User of Deposit Account 50-0954